

PSP Attachment 8

EIP GRANT PROCESS CHECKLIST

Note: The Department will use this checklist to determine whether it will consider a proposal complete. A proposal must contain ninety percent (90%) of the required information to be considered complete.

- ✓ 1. Application Cover Sheet
- ✓ 2. Statement Identifying Applicants Representative
- ✓ 3. **FINDINGS** (Improvement Projects Only)
 - ✓ a. **Finding 1** – The improvements are necessary and require State funding before the completion of the Central Valley Flood Protection Plan prepared pursuant to Section 9612.
 - ✓ b. **Finding 2** – The improvements will reduce or avoid risk to human life in one or more Urban Areas.
 - ✓ c. **Finding 3** – The improvements will not impair or impede future changes to regional flood protection or the Central Valley Flood Protection Plan.
 - ✓ d. **Finding 4** – The improvements will be maintained by a local agency that has committed sufficient funding to maintain both the existing and improved facilities of the State Plan of Flood Control.
 - ✓ e. **Finding 5** – The affected cities, counties and other agencies will have sufficient revenue resources for the operation and maintenance of the facility.
 - ✓ f. **Finding 6** – Upon the allocation of funds for a project, the proposed Project is ready for implementation.
 - ✓ g. **Finding 7** – The improvements comply with existing law.
- ✓ 4. **CRITERION** (All Projects Must Comply With:)
 - ✓ a. **Criterion 1** – Readiness
 - ✓ b. **Criterion 2** – Area Plan
 - ✓ i. Proposed Project background
 - 1. List Local Agencies
 - 2. How to achieve a specific level of flood protection
 - ✓ ii. Discussion of Area Plan
 - 1. Identify Area Projects within Area Plan
 - 2. Project phasing

3. How will the Projects will be built (cost efficiency, etc)

- ✓ iii. Discussion of findings
 - 1. Included references to supporting documentation

- ✓ iv. Schedule (by phase)
- ✓ v. Costs (by plan, project and phase)
- ✓ vi. Proposed cost sharing
 - 1. By Project Element and Project Feature (if applicable)

N/A c. Criterion 3 – Multiple Objectives

- ✓ d. Criterion 4 – For Levee In-Place Repairs and Improvements Only
- ✓ e. Criterion 5 – Economic Feasibility
- ✓ f. Criterion 6 – Most Cost-Effective Alternative
- ✓ g. Criterion 7 – Preliminary Financial Plan
 - i. Statement of loans or other sources, repayment methods, loan securities
 - ii. Local cost share formula discussion

5. **Checklist of Exhibits** – (Make sure various Plan Maps and other items are present)

- ✓ a. Statement Concerning Applicants Legal Authority
- ✓ b. Resolution Authorizing Filing of Application
 - i. Designation of representative for signing grant
- ✓ c. A Statement of Certifications from the Applicant
- ✓ d. A Statement Concerning the Applicant's Legal Authority
- ✓ e. A Flood Risk Resolution
- ✓ f. A Statement by a Registered Professional Civil Engineer in Support of Grant Application
 - i. CE reviewed information in support of grant application
- ✓ g. Cost-Share Recommendation and Report
- ✓ h. A Completed DWR Environmental Information Form
 - i. All Applicable Draft or Completed NEPA and CEQA Documents and Permit Applications and Permits, if available
- ✓ j. A Hydraulic Impact Analysis of the Project, if available
- N/A k. Evidence that the Applicant Has Met the Labor Code Compliance Requirements