



October 3, 2016

Eric Martinez, Project Manager
Division of Flood Management
Department of Water Resources
3464 El Camino Avenue, Suite 200
Sacramento, CA 95821

**SMITH CANAL GATE PROJECT – FUNDING AGREEMENT NO. 4600009799 AM1
INVOICE NO. SCGATE-11 – FROM 3/1/16 TO 3/31/16**

Enclosed for your review is SJAFCA's invoice for the above-noted period. This single month period (instead of the customary three month) will allow future quarterly invoicing to coincide with the quarters in a fiscal year, and the attached invoice showing expenditures occurring outside the stated period have been prepared as requested during our August 24, 2016 meeting.

The expenses for this period total \$280,253.89. Because the total expenses are cost-shared on a 50/50 basis, each party's cost-share is \$140,126.95. After deducting the required 10% retention (\$14,012.69), the State's cost-share for this monthly invoice totals \$126,114.25.

Please see the enclosed "Disbursement Request Summary" which summarizes SJAFCA invoices and the State's payments.

I certify we have verified that the costs and the work performed are consistent with the costs and scope of work approved by the State.

If you have any questions or need more information, please contact Juan Neira at (209) 937-8113 or by email at juan.neira@stocktonca.gov.


ROGER CHURCHWELL
DEPUTY EXECUTIVE DIRECTOR

RC:JJN:dc

Enclosures

emc: Kelly Fucciolo, Department of Water Resources
Robert Scarborough, Department of Water Resources
Juan Neira, Project Manager

SC GATE Prog Rpt 11

INVOICE

Bill to:

State of California
Department of Water Resources
Division of Flood Management
Attention: Eric Martinez, Project Manager
3464 El Camino Ave., Suite 200
Sacramento, CA 95821-9000

Agreement: 4600009799 AM 1
Invoice No.: SCGATE-11
Invoice Date: September 29, 2016

<u>Description</u>	<u>Amount</u>	<u>Subtotal</u>
Expenditure Summary:		
March 2016.....	\$ 245,025.99	
February 2016	\$ 35,227.90	
Total Expenses	\$ 280,253.89	
Cost Share (50%)		\$140,126.95
Retention Amount (10%)		<u>(\$14,012.69)</u>
Total Request		\$ 126,114.25

Please send payment to:

San Joaquin Area Flood Control Agency
22 E. Weber Ave., Room 301
Stockton, CA 95202-2317
Attn: Roger Churchwell

Total Balance Due: \$126,114.25

STATEMENT OF COSTS

3/1/16 – 3/31/16

Monthly/Quarterly Statement of Costs

Smith Canal Gate Project Mar-16									
Task	Description	Budget	PROPOSED Quarterly costs	TOTAL ACTUAL Quarterly Costs		TOTAL ACTUAL Quarterly Costs			
				March					
1	Program Management	\$1,181,235.00	\$140,000.00	\$29,822.92		\$29,822.92			
2	Engineering Design	\$3,160,223.00	\$120,000.00	\$135,688.32		\$135,688.32			
3	Independent Review	\$300,000.00	\$30,000.00			\$0.00			
4	Environmental Review and Permitting	\$2,067,132.00	\$60,000.00	\$10,705.64		\$10,705.64			
5	Real Estate Planning & Acquisition	\$94,337.00				\$0.00			
6	Public Outreach	\$88,442.00				\$0.00			
7	CEQA Litigation			\$104,037.01		\$104,037.01			
TOTALS		\$6,891,369.00	\$350,000.00	\$280,253.89		\$280,253.89			

Task 1 - Breakdown

Task	Description	Work Performed By	Budget	PROPOSED Quarterly costs	ACTUAL MAR	ACTUAL	TOTAL ACTUAL Quarterly Costs
1	Program Management	SJAFCA - Program Mgt	\$709,000.00	\$30,000.00	\$8,197.37		\$8,197.37
		SJAFCA - Legal Counsel		\$30,000.00	\$1,598.60		\$1,598.60
		PBI - Design Team		\$80,000.00	\$20,026.95		\$20,026.95
		Task 1 TOTAL	\$709,000.00	\$140,000.00	\$29,822.92		\$29,822.92

Expenses February	Consultant	Invoice
\$ 10,451.00	Kleinfelder	PBI -6264
\$ 4,963.00	Kleinfelder	PBI -6267
\$ 19,688.90	HDR	PBI -6267
\$ 125.00	Hultgreen	Hultgren -4877
\$ 35,227.90		

**DISBURSEMENT
REQUEST
SUMMARY**

SMITH CANAL CLOSURE STRUCTURE DESIGN

DISBURSEMENT REQUEST SUMMARY
As of February 29, 2016

JA/CA Request Date	Invoice No.	Total Expenses	Cost-Share (50%)	Retention (10%)	Amount Requested	DWR Disbursement Date	State Warrant No.	Disbursed Amount	Balance
9/28/2012	SCGATE-01	\$499,352.87	\$249,676.44	\$24,967.64	\$224,708.79	9/17/2013	Wire transfer	\$224,708.79	\$0.00
4/14/2014	SCGATE-02	\$125,389.36	\$62,694.68	\$6,269.47	\$56,425.21	7/8/2014	Wire transfer	\$56,425.21	\$0.00
7/16/2014	SCGATE-03								
	March	\$77,659.86	\$38,829.93	\$3,882.99	\$34,946.94	10/21/2014	Wire transfer		
	April	\$37,961.00	\$18,980.50	\$1,898.05	\$17,082.45	10/21/2014	Wire transfer		
	May	\$195,615.40	\$97,807.70	\$9,780.77	\$88,026.93	10/21/2014	Wire transfer	\$177,957.83	
10/29/2014	SCGATE-04								
	June	\$82,857.52	\$41,428.76	\$4,142.88	\$37,285.38	10/21/2014	Wire transfer		
	July	\$60,113.61	\$30,056.81	\$3,005.68	\$27,051.12	10/21/2014	Wire transfer		\$0.00
	August	\$92,042.51	\$46,021.26	\$4,602.13	\$41,419.13	10/21/2014	Wire transfer		
1/22/2015	SCGATE-05								
	September	\$73,779.18	\$36,889.59	\$3,688.96	\$33,200.63	4/22/2015	Wire transfer	\$164,094.12	
	October	\$166,644.36	\$83,322.19	\$8,332.22	\$74,989.97	4/22/2015	Wire transfer		
	November	\$92,187.54	\$46,093.77	\$4,609.38	\$41,484.39	4/22/2015	Wire transfer		\$0.00
4/21/2015	SCGATE-06								
	December	\$66,652.60	\$33,326.30	\$3,332.63	\$29,993.67	12/9/2015	Wire transfer		
	January	\$54,894.02	\$27,447.01	\$2,744.70	\$24,702.31	12/9/2015	Wire transfer	\$68,443.51	
	February	\$20,550.05	\$10,275.03	\$1,027.50	\$9,247.52	12/9/2015	Wire transfer		\$0.00
						12/9/2015	Wire transfer, Release retention for SCGATE 01	\$24,967.64	
7/20/2015	SCGATE-07								
	March	\$82,886.45	\$41,443.23	\$4,144.32	\$37,298.90				\$37,298.90
	April	\$76,071.88	\$38,035.92	\$3,803.59	\$34,232.32				\$34,232.32
	May	\$33,990.81	\$16,995.41	\$1,699.54	\$15,295.86				\$15,295.86
12/1/2015	SCGATE-08								
	June	\$265,915.65	\$132,957.83	\$13,295.78	\$119,662.04				\$119,662.04
	July	\$37,505.65	\$18,752.83	\$1,875.28	\$16,877.54				\$16,877.54
	August	\$51,749.21	\$25,874.61	\$2,587.46	\$23,287.14				\$23,287.14
2/3/2016	SCGATE-09								
	September	\$41,211.51	\$20,605.76	\$2,060.58	\$18,545.18				\$18,545.18
	October	\$161,205.38	\$80,602.69	\$8,060.27	\$72,542.42				\$72,542.42
	November	\$73,471.96	\$36,735.98	\$3,673.60	\$33,062.38				\$33,062.38
	SCGATE-10								
	December	\$89,190.92	\$44,595.46	\$4,459.55	\$40,135.91				\$40,135.91
	January (2016)	\$188,346.97	\$94,173.49	\$9,417.35	\$84,756.14				\$84,756.14
	February	\$242,128.29	\$121,064.15	\$12,106.41	\$108,957.73				\$108,957.73
9/20/2016	SCGATE-11								
	March	\$280,253.89	\$140,126.95	\$14,012.69	\$126,114.25				\$126,114.25
	Totals To Date	\$3,159,638.42	\$1,579,819.21	\$157,981.92	\$1,421,837.29			\$716,037.10	\$730,767.83