



February 16, 2017

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REQUEST FOR STATEMENT OF QUALIFICATIONS FOR CONSTRUCTION MANAGEMENT AND INSPECTION SERVICES FOR THE SMITH CANAL GATE PROJECT

The San Joaquin Area Flood Control Agency (SJAFCA), a Joint Powers Authority (City of Stockton, San Joaquin County, and San Joaquin County Flood Control and Water Conservation District), is requesting Statement of Qualifications (SOQ) from firms interested in providing construction management and inspection services necessary during final design and construction of the Smith Canal Gate Project.

The Smith Canal area was mapped into a Federal Emergency Management Agency (FEMA) Special Flood Hazard Area in 2009. The Smith Canal Gate Project would reduce flood risk for approximately 8,000 properties behind the existing Smith Canal levees by constructing a gated fixed wall structure to isolate Atherton Cove and Smith Canal from the San Joaquin River during high flow and tide events. The project includes the following improvements (Attachment 1):

- 800 ft. of dual sheet pile wall that would extend approximately from the north tip of Dad's Point to the San Joaquin River right bank at the Stockton Golf and Country Club (including transitions at both ends);
- A 50 ft. miter gate. The gate will be closed only as needed for flood control and maintenance, remaining open to allow for recreation, navigation, and tidal movement of water in Smith Canal;
- Levee floodwall approximately 700 ft. in the southern section of Dad's Point to tie into high ground at Louis Park;
- Gate controls and weather proof enclosure;
- Concrete box culvert (3' high by a maximum of 15' wide by approx. 100' long) underneath Moreing Road to improve water quality in Atherton Cove;
- Improvements to Dad's Point, including removal of invasive plant species, addition of a handicapped fishing access, and an interpretative trail;
- Mitigation measures as needed to compensate for adverse impacts, and

- Necessary preconstruction and associated construction efforts including utility relocations, real estate and right-of-way acquisition, demolition, clearing and grubbing, encroachment management, etc.

In November 2013, SJAFCA Board of Directors authorized a consultant contract with Peterson Brustad Inc., for the design of the project. Currently design is approximately 65% complete.

Additional information related to the Smith Canal Gate project can be downloaded from our website at http://www.sjafca.com/smith_canal_closure.php.

A non-mandatory SOQ meeting will be provided to go over the project and selection process. After the non-mandatory SOQ meeting, all questions must be submitted in writing and the questions and answers will be posted on SJAFCA's website.

Your firm's SOQ shall include constructability review and support during the remainder of the design process. In addition, the SOQ shall include all construction management tasks needed during the construction of the project.

The SOQ documentation submitted will be reviewed by a selection committee, which will comprehensively rank the firms based on, but not limited to, past experience with similar work (flood protection, permitting, and environmental monitoring in the Central Valley), key personnel qualifications, and work experience with the State Department of Water Resources, etc. The most qualified firms will be selected for interviews. Once the interviews are concluded, SJAFCA will notify the highest ranked firm who will submit a detailed not-to-exceed (NTE) proposal for the entire construction management work. If an agreement cannot be reached after a reasonable period of time, SJAFCA will terminate negotiations. If negotiations are successful a contract will be presented to the SJAFCA Board for approval and authorization of Task Order No. 1 (Constructability review and support needed to assure project readiness for construction). Subsequent Task Orders for services during construction will be brought to the Board for approval at a future meeting. All negotiations will be kept confidential.

The contract shall not be in force until SJAFCA Board of Directors approve the contract and it is signed by the two parties. Work performed before the issuance of a Notice to Proceed will not be paid by SJAFCA.

Project construction management and inspection services should include the following services. Consultant is encouraged to add items if necessary and provide what is customary for full service construction management:

- Consultant should be familiar with the State's Urban Levee Design Criteria, U.S. Army Corps of Engineers, and FEMA standards for all work items included in the project
- Constructability and Biddability review

- Detailed review of draft and final plans, and specifications
- Schedule, coordinate, and conduct a preconstruction conference and weekly construction coordination meetings with the contractor, SJAFCA, other agencies and utility companies as required. Prepare and distribute agenda and minutes for all meetings
- Review and process all contractor submittals
- Monitor work of contractor and its subs for construction contract compliance
- Process clarifications, interpretations and notice of potential claims of the construction contract documents. Prepare and process construction contract change orders and coordinate processing of submittals between contractor, design consultant, SJAFCA, utility companies and other agencies as required
- Review contractor's monthly payment request and verify that request reflects work accomplished
- Keep as-built drawings throughout the project
- Establish and maintain Project files for delivery of one copy to SJAFCA after the work is completed. Filing system should be in accordance with Caltrans Uniform Filing System or SJAFCA approved equal. File all project documentation
- Submit periodic reports when requested by SJAFCA concerning Project progress and pertinent project issues. Assist in the preparation of punch list and monitor the contractor's progress toward resolution of all items
- Act as a liaison between SJAFCA, contractor, design consultant, other agencies, and utility companies to insure effective and timely communications, as well as resolution of construction questions and problems
- Interface with stakeholders and public as needed. Document any questions and answers provided by stakeholders and public
- Provide inspection to monitor and verify the quality of construction. Prepare photo and video log as necessary to depict job progress and/or potential claims. Sort, edit, index, and mount the photographs in a three-ring binder as to location and date
- Investigate and resolve potential claims
- Perform quality assurance, surveying, materials testing, and full time inspection
- Provide traffic control monitoring and verify residents have continuous ingress and egress. Review field conditions to verify effectiveness of the Traffic Control Plan. Potential safety issues shall be brought to the attention of the SJAFCA and design consultant
- Coordinate with utility companies and contractor to facilitate utility relocation work
- Provide environmental monitoring services. Consultant should plan to provide oversight biological services to ensure that all permit conditions, mitigation measures, and Incidental Take and Minimization Measures are complied with

Under no circumstance does this request for statement of qualifications obligate SJAFCA to pay for costs incurred in the preparation and presentation of a SOQ, or to compensate any firm that responds. This request for statement of qualifications does not commit

SJAFCA to execute a contract with any responding firm. There is no representation that a contract for a project specific scope of work will be negotiated with any selected firm.

In addition to the specific items mentioned above, the submittal shall include the following:

- A letter signed by the consultant firm representative
- Designated Project Manager and Key Personnel References
- Flow Chart for consultant and sub-consultants
- Charge rates for the entire team that will be assigned to the project

Estimated schedule for firm selection:

Non-mandatory SOQ meeting----- March 6, 2017
SJAFCA Office
22 East Weber Avenue, First Floor
Stockton, CA 95202

Tentative Deadline to submit SOQ----- March 20, 2017

Tentative Interviews----- April 3, 2017
SJAFCA Office
22 East Weber Avenue, Third Floor
Stockton, CA 95202

Tentative Selection Notification----- April 7, 2017

Detailed NTE proposal for the entire work -----April 17, 2017

Tentative Contract Negotiations----- April 19, 2017

SJAFCA Board Approval----- May 18, 2017

Interested firms should submit four (4) copies of their SOQ to SJAFCA no later than 3:00 p.m. on March 20, 2017. The SOQ package should be addressed to:

Attention: Juan Neira
San Joaquin Area Flood Control Agency
22 E. Weber Avenue, Room 301
Stockton, CA 95202-2317

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Your attendance to the non-mandatory-SOQ meeting should be confirmed with Dawn Clement at (209) 937-8211 or dawn.clement@stocktonca.gov by February 27, 2017.

Please contact Juan Neira at juan.neira@stocktonca.gov if you have any questions.



ROGER CHURCHWELL
DEPUTY EXECUTIVE DIRECTOR

RC:JN:dc

